



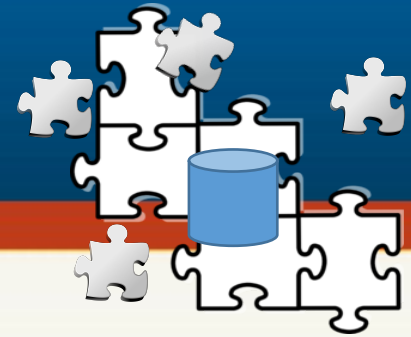
FEDERAL DEMONSTRATION PARTNERSHIP
Redefining the Government & University Research Partnership

Open Government: Research Administration Data (OG:RAD)

FDP Meeting – May 2019



OGRAD: Who?



Steering Committee

Members	FDP Member Organization
Stephanie Endy	Case Western University
Avinash Tembulkar	National Science Foundation
Richard Fenger	University of Washington
Drawdown Workgroup:	
Christopher Berner	National Science Foundation
Nate Martinez-Wayman	Duke University



OG:RAD - Agenda



- Purpose, History, Goals & Status
- New Stuff
 - PMA and CAP Goals
 - DUNS/UEI
 - LoC Workload Survey



OG:RAD - Purpose, History, Goals



(thefdp.org OGRAD)

- Status
 - Standard NoA
 - Data Standards
- The Puzzle – data in many places
 - President's Management Agenda (PMA)
 - Cross-Agency Priorities Goals (CAP) (1,2,5,8,9,10)
- Legislation: [DATA Act](#), [OPEN Act](#), [GREAT Act](#)
- Aligning and supporting other FDP work



PMA and CAP Goals



[PMA & CAP: Performance.gov](https://www.performance.gov)

Key Drivers of Transformation

IT Modernization
(CAP Goal 1)

Data, Accountability,
and Transparency
(CAP Goal 2)

People - Workforce of
the Future
(CAP Goal 3)

Cross-Cutting Priority Areas for Transformation

Improving Customer
Experience
(CAP Goal 4)

Sharing Quality Services
(CAP Goal 5)

Shifting From Low-Value
to High-Value Work
(CAP Goal 6)

Functional Priority Areas for Transformation

Category
Management
(CAP Goal 7)

Results-Oriented
Accountability for
Grants
(CAP Goal 8)

Getting Payments
Right
(CAP Goal 9)

Federal IT Spending
Transparency
(CAP Goal 10)

Improve
Management of
Major Acquisitions
(CAP Goal 11)

Managing Across Silos



OG:RAD – General Update - PMA



Cross Agency Priority (CAP) Goal 8 – Results Oriented Accountability for Grants

- Four work lanes:
 - Process and Data Standards
 - Shared Services and Infrastructure
 - Managing Risk
 - Performance Based Awards
- NSF is one of the agency executive sponsors on CAP Goal 8
- Recent milestones include:
 - The Federal Audit Clearinghouse demonstration days
 - Posting 417 financial assistance data standards for public comment



OG:RAD – General Update - PMA



OMB Memo M-18-24 – Strategies to Reduce Grant Recipient Reporting Burden

- Centralized Certifications and Representations
 - Effective January 1, 2020 – SAM will become central repository for common government-wide certifications and representations required for federal grants recipients
- Agency Evaluation of Recipient Data
 - To eliminate duplicative data collection by agency – Internal review
- Adoption of Grants Management Data Standards
 - Agency strategy for integrating the new data standards – by April
- Readiness Assessment
 - Agency's readiness and maturity to adopt Federal-wide standard business processes and data – by May



OG:RAD – General Update - PMA



OMB Memo M-19-16 – Centralized Mission Support Capabilities for the Federal Government

- In support of CAP Goal 5 - Sharing Quality Services
- Creation of Quality Service Management Offices (QSMOs) for select mission-support functions
- Four QSMOs identified by each service area
 - Grants Management (GM)
 - Core Financial Management (FM)
 - Civilian Human Resources (HR) Transaction Services
 - Cybersecurity
- The Department of Health and Human Services (HHS) has been pre-designated as the QSMO for GM
 - In planning phase



OG:RAD – General Update - UEI

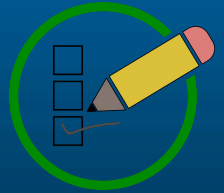


Unique Entity Identifier (UEI)

- The Federal Government is moving towards new Government-owned UEI
- UEI will ultimately become primary key to identify entities throughout SAM, other Integrated Award Environment (IAE) systems, and government agency systems that interface with IAE
- UEI does not replace DUNS
- As IAE systems transition to the UEI, the DUNS will be phased out as the primary key to identify every entity record within SAM.gov
- DUNS will be available for historical purpose for awards made prior to the UEI transition
- Users to provide registration information in SAM.gov
- **GSA** will be sharing UEI standards and implementation details



OG:RAD – LOC Survey



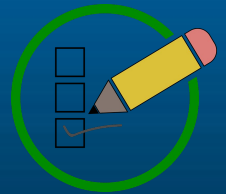
Federal Letter of Credit Drawdown Survey

Co-Leads:

- Chris Berner, National Science Foundation
- Nate Martinez-Wayman, Duke University



OG:RAD – LOC Survey



Goal:

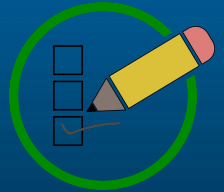
Measure the time and resources (personnel and technical) required for a grantee institution to request cash payments from a Federal agency via one of the primary Letter of Credit (LOC) systems; demonstrate the administrative burden of managing multiple systems

Significance:

Supports current OMB priorities to “reduce redundancy by sharing [21st century] resources and tools across agencies” and for “taking the duplication out of financial reporting”



OG:RAD – LOC Survey

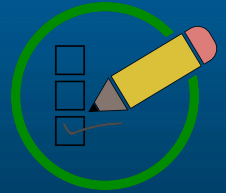


Timeline:

- February – April 2019: planning, initial draft of survey, review from FDP volunteers
- June 2019: distribution of survey, collection of responses
- Late 2019: data analysis
- Early 2020: reporting of results



OG:RAD – LOC Survey

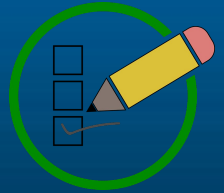


Scope:

- Survey will be sent to FDP member institutions who participate in the Research Admin and Finance/Audit and Costing Listservs and those institutions who volunteer to participate
- LOC systems include:
 - ACM\$ (NSF)
 - ASAP (Treasury; supports multiple agencies)
 - G5 (Education)
 - GPRS (Justice)
 - PMS (DHHS, NASA, USAID, USDA, etc.)



OG:RAD – LOC Survey

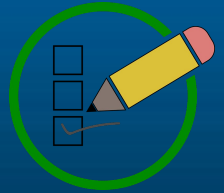


Details:

- One response per institution
- Results/analysis presented in aggregate; individual responses not identified with an institution
- Qualitative comments are encouraged
- Requested data includes:
 - User experience
 - Required FTE/admin effort
 - Interfaces with institutional technology



OG:RAD – LOC Survey



Volunteer Group Acknowledgements:

- Paige Brown, University of Kentucky
- Heather Nelson, University of Idaho
- Urmila Bajaj, University of Virginia
- Bryan Van Sickle, University of Michigan
- Kim Garrison, University of Pennsylvania
- Deena Pineo, Tufts University



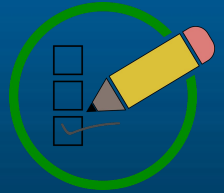
OG:RAD: Wrap it up

- Discussion?
- Feedback?
- Questions?

Thank you!



OG:RAD – LOC Survey



Survey Preview: